

**ADMINISTRATIVE PROCEEDING  
BEFORE THE  
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

<b>IN THE MATTER OF:</b>	)	
	)	
<b>EQUINOX SECURITIES, INC. CRD # 145790</b>	)	<b>ORDER REVOKING REGISTRATION BY DEFAULT</b>
	)	
<b>RESPONDENT</b>	)	<b>File Number 16037</b>
<hr/>	)	

The Securities Division of the Office of the Attorney General of the State of South Carolina (the "Division") under the authority of the South Carolina Uniform Securities Act of 2005 (the "Act"), S.C. Code Ann. §§ 35-1-101 to 35-1-703 (Supp. 2015), instituted this proceeding by filing a Rule to Show Cause ("Rule to Show Cause") against Equinox Securities, Inc. ("Equinox" or "Respondent"), ordering Respondent to show cause why an order should not be issued against it revoking Respondent's broker-dealer registration in the State of South Carolina.

In the Rule to Show Cause, the Division alleged that the Financial Industry Regulatory Authority ("FINRA"), a self-regulatory organization, expelled Respondent from membership. The Rule to Show Cause notified Respondent of the Division's intent to seek an order, pursuant to S.C. Code Ann. § 35-1-412, revoking Respondent's registration as a broker-dealer in the State of South Carolina.

The Rule to Show Cause was served on Respondent at its last known address. Specifically, on or around May 20, 2016, the Division sent via certified mail, return receipt requested, a copy of the Rule to Show Cause addressed to 1776 Park Ave., Suite 101, Redlands,

CA 92374. Thereafter, on or around June 1, 2016, the United States Postal Service delivered a receipt indicating the Order was delivered. Equinox, however, has not responded.

As a state registered broker-dealer, Respondent provided the Division with its mailing address and is under a continuing obligation to update its registration information, including the mailing address, as changes occur.

By failing to respond to the Rule to Show Cause, or alternatively failing to update its address of record causing its failure to receive the Rule to Show Cause, Respondent has caused the Rule to Show Cause to go into default. By allowing the Rule to Show Cause to go into default, Respondent has opened itself up to the remedy sought in the Rule to Show Cause. The remedy sought in the Rule to Show Cause was entry of a Final Order revoking Respondent's broker-dealer registration in the State of South Carolina and permanently barring Respondent from the securities and investment advisory business in the State of South Carolina.

The Securities Commissioner finds that this order is in the public interest. Therefore, pursuant to S.C. Code Ann. § 35-1-412(d), Respondent's registration is hereby revoked and Respondent is permanently barred from engaging in the securities and investment advisory business in the State of South Carolina.

Executed and entered, this the 6<sup>TH</sup> day of July, 2016.

By: Alan Wilson  
ALAN WILSON  
Securities Commissioner  
State of South Carolina  
Post Office Box 11549  
Columbia, SC 29211  
(803) 734-4731

STATE OF SOUTH CAROLINA  
OFFICE OF THE ATTORNEY GENERAL  
SECURITIES DIVISION

CERTIFICATE OF SERVICE AND  
AFFIDAVIT OF COMPLIANCE  
File Number 16037

I hereby certify that I served upon the individual/entity listed below a copy of the document indicated below and dated July 6, 2016, by serving a copy of said document upon the Securities Commissioner of the State of South Carolina and by placing a copy of said document in the United States mail, certified mail, return receipt requested, first class postage prepaid and addressed to:

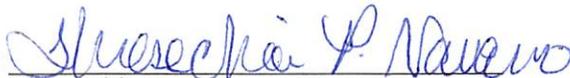
Stephen M. Oliveira, President  
Equinox Securities, Inc.  
1776 Park Ave., Ste. 101  
Redlands, CA 92374

Document(s): Order Revoking Registration by Default

Mailed July 12, 2016 from Columbia, South Carolina.

I further hereby certify, swear and affirm that, service of the above-listed entity is in compliance with Section 35-1-611, Code of Laws of South Carolina.

By:

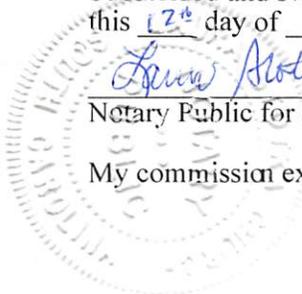


Thresechia P. Navarro  
South Carolina Attorney General's Office  
Securities Division  
Post Office Box 11549  
Columbia, SC 29211-1549  
(803) 734-4731

Subscribed and sworn to before me on  
this 12<sup>th</sup> day of July, 2016.

  
Notary Public for South Carolina

My commission expires: 3-10-18



THE UNITED STATES OF AMERICA  
DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

WASHINGTON, D. C. 20535  
MAY 19 1964

TO : DIRECTOR, FBI (100-441100)  
FROM : SAC, NEW YORK (100-100000) (P)  
SUBJECT: [Illegible]

RE: [Illegible]

Reference is made to [Illegible]

It is noted that [Illegible]

Enclosed for the Bureau are [Illegible]

Very truly yours,  
[Illegible Signature]  
Special Agent in Charge

