

ADMINISTRATIVE PROCEEDING

BEFORE THE

SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF:)	
)	
KPM Financial, LLC,)	ADMINISTRATIVE ORDER
IARD# 171012,)	REVOKING REGISTRATION
)	BY DEFAULT
)	
<u>Respondent.</u>)	Case No. 20175785

WHEREAS, the Securities Division of the Office of the Attorney General of the State of South Carolina (the "Division"), under the authority of the South Carolina Uniform Securities Act of 2005 (the "Act"), S.C. Code Ann. §§ 35-1-101 *et seq.*, instituted this proceeding by filing a Rule to Show Cause ("Rule to Show Cause") against KPM Financial, LLC ("KPM" or the "Respondent").

WHEREAS, in the Rule to Show Cause, the Division alleged that the Respondent impeded the Division's inspection pursuant to S.C. Code Ann. § 35-1-411(d).

WHEREAS, the Rule to Show Cause notified the Respondent of the Division's intent to seek an Order, pursuant to S.C. Code Ann. § 35-1-412, revoking the Respondent's registration as an investment adviser in the State of South Carolina and imposing a fine not to exceed ten thousand dollars (\$10,000) on the Respondent.

WHEREAS, the Rule to Show Cause was served upon the Respondent at its last known address. Specifically, on or about October 11, 2017, the Division sent via certified mail, return receipt requested, a copy of the Rule to Show Cause addressed to KPM Financial, LLC at 11 Ossabaw Loop, Simpsonville, South Carolina 29681. To date, the Division has not received a response to the Rule to Show Cause.

WHEREAS, as a state-registered investment adviser, the Respondent provided the Division with its mailing address and is under a continuing obligation to update its registration information, including the mailing address, as changes occur.

WHEREAS, by failing to respond to the Rule to Show Cause, or alternatively failing to update its address of record causing its failure to receive the Rule to Show Cause, the Respondent has caused the Rule to Show Cause to go into default. By allowing the Rule to Show Cause to go into default, the Respondent has opened itself up to the remedy sought in the

Rule to Show Cause. The remedy sought in the Rule to Show Cause was entry of an Administrative Order revoking Respondent's investment adviser registration in the State of South Carolina and imposing a fine not to exceed ten thousand dollars (\$10,000) on the Respondent.

WHEREAS, the Securities Commissioner finds that this order is in the public interest.

NOW THEREFORE, pursuant to S.C. Code Ann. § 35-1-412(d), the Respondent's investment adviser registration is hereby **REVOKED**.

IT IS FURTHER ORDERED that the Respondent shall pay a civil penalty in the amount of ten thousand dollars (\$10,000) within thirty (30) days of the date of this Order.

ENTERED, this the 13 day of December, 2017.

By: Alan Wilson
ALAN WILSON
Securities Commissioner

STATE OF SOUTH CAROLINA
OFFICE OF THE ATTORNEY GENERAL
SECURITIES DIVISION

CERTIFICATE OF SERVICE AND
AFFIDAVIT OF COMPLIANCE

File Number 20175785

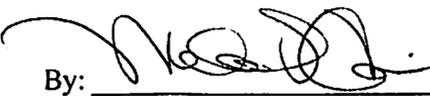
I hereby certify that I served upon the individual/entity listed below a copy of the document indicated below and dated December 14, 2017, by filing the original of said document with the Securities Commissioner of the State of South Carolina and by placing a copy of said document in the United States mail, certified mail, return receipt requested, first class postage prepaid and addressed to:

KPM Financial, LLC
11 Ossabaw Loop
Simpsonville, South Carolina 29681

Document(s): Administrative Order Revoking Registration by Default

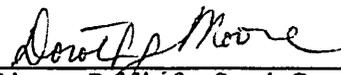
Mailed December 14, 2017 from Columbia, South Carolina.

I further hereby certify, swear and affirm that, service of the above-listed entity is in compliance with Section 35-1-611, Code of Laws of South Carolina.

By: 

Melanie S. Cain
South Carolina Attorney General's Office
Securities Division
Post Office Box 11549
Columbia, SC 29211-1549
(803) 734-6277

Subscribed and sworn to before me on
this 14th day of December, 2017.


Notary Public for South Carolina

My commission expires: Dec. 8th, 2025